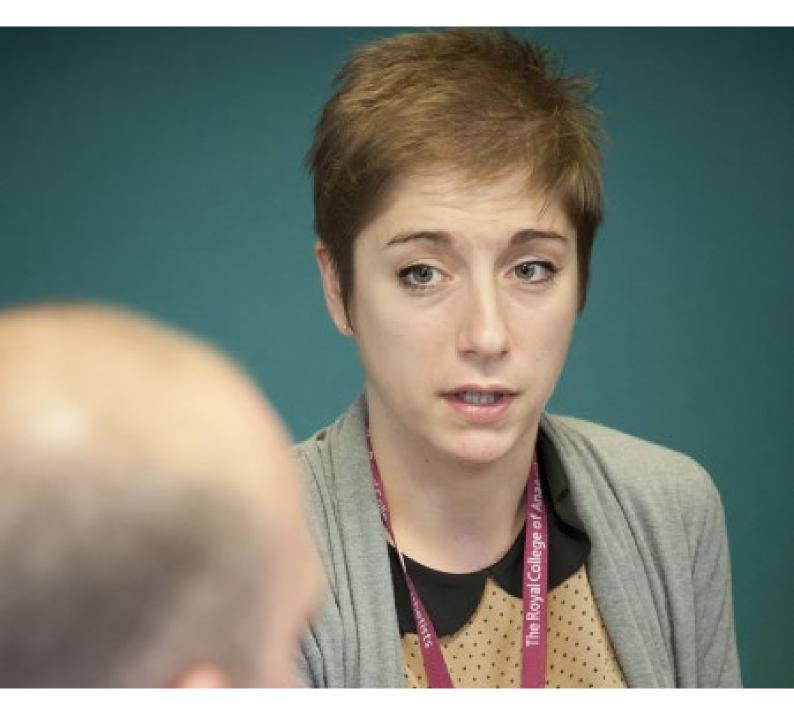


# Advisory Appointments Committees (AAC)

# Guidance for representatives on AACs 2023



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This guidance will be reviewed in July 2026.

All enquiries in regard to this document should be addressed to the Royal College of Anaesthetists, Churchill House, 35 Red Lion Square, London WC1R 4SG

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## rcoa.ac.uk/aac

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#### Introduction

The Royal College of Anaesthetists (RCoA), the Faculty of Intensive Care Medicine (FICM) and the Faculty of Pain Medicine (FPM) provide representatives to serve on the Advisory Appointment Committees for consultant, staff grade, associate specialist and specialty (SAS), and specialist doctor anaesthetist, intensivist and pain medicine roles. The process to arrange representation is supported by the RCoA on behalf of all three organisations. This guidance is intended for our representatives, also known as AAC assessors; separate guidance is available for employing authorities <a href="https://example.com/hercoal-persentation-needed-com/hercoal-persentation-needed

#### What is an AAC?

An Advisory Appointments Committee (AAC) is a legally constituted interview panel that is established by an employing body when appointing consultants, specialty doctors or SAS doctors. The remit of an AAC is to decide which, if any, of the applicants is suitable for appointment and to make a recommendation to the employing body.

The core membership of the committee for a consultant post can be found in the DH <u>Good Practice</u> Guidance section 2.1–2.8

NHS Trusts/Boards are required by the <u>Consultant Appointment regulations</u>, produced by the Department of Health (DH), to include a representative from the appropriate medical college or faculty on the appointment committee.

NHS Foundation Trusts are not required by law to follow the <u>Consultant Appointment regulations</u>. However, they are encouraged by the Academy of Medical Royal Colleges and the Foundation Trust Network to include a representative from the appropriate college or faculty as described in the <u>Concordat between the Academy of Medical Royal Colleges and the Foundation Trust Network</u>. The process to secure a representative of the RCoA, FICM or FPM is the same for all trusts, regardless of their foundation status.

The RCoA encourages all employing authorities to include a representative from the RCoA, FICM or FPM on their interview panels for permanent specialist doctor or SAS roles as a point of good practice.

This guidance does not pertain to Scotland since, from 2009, it has had a different appointment process. Job descriptions (JDs) in Scotland are not required to be sent to the Regional Advisor (RA). An External Assessor is instead provided by the Academy of Royal Colleges and Faculties in Scotland.

For more information about any part of this guidance please contact <a href="mailto:aac@rcoa.ac.uk">aac@rcoa.ac.uk</a> or call 020 7092 1571

# List of abbreviations

AAC	Advisory Appointments Committee
AoMRC	Academy of Medical Royal Colleges
DCC	Direct Clinical Care
DH	Department of Health
DRA	Deputy Regional Advisor
DRAA	Deputy Regional Advisor Anaesthesia
FICM	Faculty of Intensive Care Medicine
FPM	Faculty of Pain Medicine
JD	Job Description
PS	Personal Specification
RA	Regional Advisor
RAA	Regional Advisor Anaesthesia
RCoA	Royal College of Anaesthetists
SAS doctor	Staff grade, associate specialist and specialty doctor
SPA	Supporting professional activity
Specialist doctor	A new senior role open for SAS grade doctors who have attained experience and qualifications according to the competency framework approved by the Academy of Medical Royal Colleges.

#### The RCoA Process for AACs

Employer sends the JD to the RCoA (<u>aac@rcoa.ac.uk</u>) for approval JDs must include the person specification and job plan.

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The AAC administrator coordinates approval of the JD by the appropriate RA/DRA. JDs for intensivists and pain medicine specialists are approved by the appropriate ICM and Pain RA only. Anaesthetic job plans with more than 1 DCC in Pain or ICM will require approval by RAs in both disciplines.



The RCoA, FICM and FPM only send representatives to AACs where the JD has been approved, except where the only reason for non approval is the amount of supporting professional activity (SPA) time.

See FAQs for more details.



Employer contacts RCoA to seek and AAC assessor for AAC panel  $\,$ 

#### **8 WEEKS NOTICE IS NEEDED**



The AAC administrator contacts suitable AAC assessors to check availability.



AAC Assesor confirms availability to the AAC administrator.



The AAC administrator sends AAC assessor confirmation that they have been assigned to that AAC panel and provides contact details of employer contact, the approved JD and the RA approval form(s). The AAC administrator sends employer contact details for the AAC assesor.



Employer contacts the AAC assesor and confirms logistical and shortlisting arrangements. The AAC assesor should confirm the process to claim their expenses with the employer at this time.

IF THE ACC ASSESSOR HAS NOT RECEIVED THESE DETAILS 2 WEEKS PRIOR TO THE AAC PANEL, THEY ARE ADVISED TO CONTACT THE AAC ADMINISTRATOR.



The AAC assessor contacts the AAC administrator to check CCT dates of candidates suitable for shortlisting.



AAC panel is held wih AAC assessor in attendance

IF THE ACC ASSESSOR IS UNABLE TO ATTEND THE ACC, THE ACC ASSESSOR SHOULD CONTACT BOTH THE AAC ADMINISTRATOR AND THE EMPLOYER AS SOON AS POSSIBLE.



AAC assesor sends feedback form to the AAC administrator.

# Frequently asked questions

Please click on the links below to be taken to the full text.

- What training do I need to be an AAC assessor?
- How many AACs will I be asked to attend per year?
- What should I do if a hospital asks me directly to attend an AAC?
- I have agreed to attend an AAC, what happens next?
- Should I be involved in shortlisting?
- What if I have concerns about the job description?
- How can I check that candidates are eligible to be appointed?
- What if I am approached by a candidate prior to the panel?
- What if I am no longer able to attend an AAC panel?
- What happens at the AAC?
- If attending the panel virtually what happens if my connection is lost?
- What happens if the trust decides to appoint more candidates than had been advertised?
- What do I need to do after the AAC?
- What if I am asked to give feedback to the candidates?
- What happens if I have concerns about the process?
- What happens if employing authorities do not follow AAC guidance?
- Who should I contact regarding the AAC assessors' fee and expenses?

#### What training do I need to be an AAC assessor?

As a minimum you must have completed equal opportunities training before attending an AAC.

The RCoA runs training sessions for assessors, which includes equal opportunities and interviewing techniques. You must attend one of these training sessions at least once every three years.

#### How many AACs will I be asked to attend per year?

It is up to you how many AACs you attend each year. The RCoA AAC team sets no upper or lower limit on the number of AACs an assessor attends each year.

#### What should I do if a hospital asks me directly to attend an AAC?

Should you be approached directly by a hospital to serve on an AAC, and you're available to attend then you may do this provided that you have up to date Equality and Diversity training and are a member of the College and/or FICM/FPM (depending on who you are representing on the panel). Please inform the AAC administrator (aac@rcoa.ac.uk) that you will be attending the panel, and feel free to ask for guidance, should you require it.

#### I have agreed to attend an AAC, what happens next?

The RCoA AAC team will send you the approved job description, the completed RA approval form(s) and the contact details of the employing authority. The employing authority will be sent your contact details and should be in touch regarding shortlisting processes and logistics for the day. If you have not made contact with the employer two weeks before the AAC, please contact the RCoA AAC team via <a href="mailto:accoa.ac.uk">accor.ac.uk</a>.

#### Should I be involved in shortlisting?

You should be involved in the shortlisting process. If you have agreed to attend an AAC at short notice, the shortlisting may have already taken place. In this case, you should review the candidates' applications to ensure that you are comfortable that all shortlisted applicants meet the minimum criteria for the role.

There can be a significant variation in the application and short-listing processes between employers. You should encourage employers to make explicit what information is required for application, as well as what the panel members will receive to shortlist.

In some regions, a local shortlisting meeting is held before an AAC. This may be done in person or on teams, if in person then provision should be made for the assessor to join via Teams if possible, as it's usually impractical for the assessor to travel to attend this meeting. If the assessor is not able to attend the meeting for any reason, they should make sure that their views on the proposed shortlist will be noted.

#### What if I have concerns about the job description?

If you have serious concerns about a job description, you should contact the RCoA AAC team ASAP.

It is poor practice to raise issues with the job description for the first time on the day of the AAC, and unacceptable for them to be discussed during an AAC with candidates present.

#### How can I check that candidates are eligible to be appointed?

You can contact the RCoA AAC team on <u>aac@rcoa.ac.uk</u> before the AAC panel meets, usually at the shortlisting stage, to confirm the eligibility of candidates.

The RCoA AAC team will confirm the Certificate of Completion of Training (CCT) or Certificate of Eligibility for Speciality Registration-Combined Programme (CESR-CP) dates for all relevant candidates. Anaesthetists in training are only eligible to be interviewed for consultant grade roles if they have less than six months remaining before their CCT/CESR-CP\* date on the date of the AAC panel. If the date that the trainee has provided in their application is different to that provided by the RCoA AAC team, the candidate should be advised to contact the training department at the RCoA, FICM or FPM immediately.

Applicants who are not currently undertaking training through the RCoA, FICM or FPM training programmes must be on the <u>specialist register</u> held by the General Medical Council by the date of the panel. Applicants who are still seeking equivalence and their Certificate of Eligibility for Specialist Registration (CESR) are not eligible to be interviewed.

\*CESR-CP requires individuals to have undergone ARCPs within the normal process.

#### What if I am approached by a candidate prior to the panel?

It is not the role of the College assessor to advise candidates before or after an AAC. If you are approached by a candidate redirect them to the trust. Contact details for who to discuss a job description with should be provided within the advertised job description.

#### What if I am no longer able to attend an AAC panel?

Assessors should inform the College <u>aac@rcoa.ac.uk</u> at the earliest opportunity of any problems arise relating to their attendance at the AAC. We will endeavour to source a replacement where possible.

#### What happens at the AAC?

The NHS Good Practice Guidance outlines the process that employers should follow.

The College highlights the following points:

All core members of the AAC should be present throughout the interview process.

Question areas should be agreed by the committee before interviewing starts.

You should be clear about how any other selection processes, such as psychometric testing or presentations, will be considered as part of the AAC. If they will be taken into account, then you should have at least received feedback from these exercises.

You will take part in discussions at the end of the interviews to determine the AAC's recommendation.

Copies of any notes that you take at the AAC should be securely retained by the employing authority. Remember when making these notes that they will be retained and may be released to the candidate if a subject-access request is submitted or a challenge made to the process.

#### If attending the panel virtually what happens if my connection is lost?

If at any point you lose connection with the panel you should attempt to flag this with the Chair as soon as possible. The RCoA recommends discussion with the Chair before the panel starts what method can be used to contact them if such a situation arises.

# What happens if the trust decides to appoint more candidates than had been advertised?

If funding has been confirmed the trust are able to appoint further posts following the AAC granted that the job description for those roles is the same as the one being interviewed for, and the candidates are suitable. The trust has six months from the date of the AAC in which they can appoint this way. After this date, the JD will need reapproval (if expired) and a new AAC panel must be convened.

#### What do I need to do after the AAC?

You should return a post-AAC feedback form to the RCoA AAC team. This will have been sent to you when you agreed to attend the AAC. This should be completed as fully as possible and returned to the RCoA even if no appointment is made. Completion of this form helps in all discussions regarding the workforce planning for anaesthetists, intensivists and pain medicine specialists.

You should destroy any personal data about the candidates and notes from the shortlisting process that you hold in accordance with the Data Protection Act.

#### What if I am asked to give feedback to the candidates?

It is not the duty of the assessor to provide feedback to the candidates. Any queries should be directed back to the HR department at the employer.

Should an employing authority receive a legal challenge from one of the candidates complaining that the AAC was unconstitutional or that there had been discrimination, we would expect the employing authority to confirm and comply with all the procedures required by the Statutory Instrument.

#### What happens if I have concerns about the process?

Full support will be given to any assessor who feels that having given their guidance, the AAC is making a recommendation that conflicts with the maintenance of appropriate standards. Where this action is taken by the employer, you should alert the RCoA AAC team (aac@rcoa.ac.uk). Please forward copies of relevant correspondence at this stage. This information will be considered by the relevant RCoA or Faculty officers.

When the appointment is considered to be unsafe, the President or Dean will contact the CEO of the employing organisation.

#### What happens if employing authorities do not follow AAC guidance?

The RCoA, FICM and FPM are not regulators and have few sanctions they can apply if an employer fails to heed its best practice advice in organising an AAC. However, in practice, it is rare for RCoA, FICM or FPM advice to go unheeded, as our focus is on assisting the employer to select the best possible candidate for the advertised post and to protect the employer from any subsequent claims by an unsuccessful candidate that the AAC was ill-formed and unjust in its decision making process.

#### Who should I contact regarding the AAC assessors' fee and expenses?

The employing authority pays the AAC assessor's fee and expenses and all queries should be directed to them.

The fees that you can claim from the employing authority for attending an AAC panel are set by NHS Employers and can be found in Annex A: Section 11 of the 'Pay and Conditions Circular (M&D) 1/2017'.

You will claim your expenses, including travel, hotel accommodation and other subsistence allowances in accordance with the rules of the employing authority, from the employing authority concerned as outlined in Section 6 of the NHS (Appointment of Consultants) Good Practice Guidance, January 2005.

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